Independent forensic review of Mazars LLP

Exchange Funds

## Appendix 5.1b

# The testing undertaken on the HEX Customer Database Download (Customer Account Sample 2)

HID	Corresponding account in the HEX Customer Database?	Name in the HEX Customer Database agrees to the name in the HEX Customer Database Download?	Account type in the HEX Customer Database agrees to the account type in the HEX Customer Database Download?	"Tier" in the HEX Customer Database agrees to the "tier" in the HEX Customer Database Download?
3MLVY2G	Yes	✓	✓	✓
24FFDW4	Yes	✓	✓	✓
WUH75HG	Yes	✓	✓	✓
12YZDXQ	Yes	✓	✓	✓
LFLDE50	Yes	✓	✓	✓
XOLOLS9	Yes	✓	✓	✓
1GMV72Z	Yes	✓	✓	✓
DP9KQXI	Yes	✓	✓	✓
TD3QU0J	Yes	✓	✓	✓
ALULFZL	Yes	✓	✓	✓
BU1UJ96	Yes	✓	✓	✓
NT7M6OG	Yes	✓	✓	✓
MY0PFE5	Yes	· ✓	· ✓	<b>✓</b>
XJGRUX6	Yes	· ✓	· ✓	· ✓
HSE4ZZY	Yes	· ✓	· •	✓
5EK84AQ	Yes	· ✓	· /	<b>√</b>
9D0ZOWX	Yes	<b>√</b>	· /	<b>√</b>
6R2U6L2	Yes	· /	· /	<b>√</b>
	Yes	<b>√</b>	1	<b>√</b>
CZZNW9T	Yes	<b>√</b>	· /	<b>√</b>
3Z2T0EK	Yes	<b>√</b>	· /	<b>√</b>
GRGG6ET Q7KWRCP	Yes	<b>√</b>	· /	<b>√</b>
	Yes	<b>√</b>	•	<b>√</b>
Q95U2AP	Yes	<b>√</b>	•	<b>v</b> ✓
SXACJ0N	Yes	<b>∀</b>	<b>▼</b>	<b>v</b> ✓
H8Q7BM8	Yes	· · · · · · · · · · · · · · · · · · ·	•	<b>▼</b>
P86RW30		<b>V</b>	· ·	<b>∀</b>
5L9Z0QK	Yes	<b>V</b>	· ·	<b>∀</b>
WP3C8BL	Yes	<b>V</b>	<b>V</b>	<b>V</b>
A4UZ1UA	Yes	<b>V</b>	<b>V</b>	<b>V</b>
8UZMLTX	Yes	<b>∀</b>	<b>V</b>	<b>V</b>
ZM4BTLE	Yes	<b>V</b>	<b>√</b>	<b>√</b>
HJ54HIM	Yes	<b>∀</b>	<b>√</b>	<b>V</b>
4PTCP1G	Yes	<b>V</b>	✓	<b>√</b>
N43JW3B	Yes	<b>∀</b>	<b>∀</b>	<b>√</b>
BHE007O	Yes	<b>√</b>	<b>∀</b>	<b>√</b>
RLMEAIS	Yes	✓	<b>√</b>	<b>√</b>
01IYR3M	Yes	✓	<b>√</b>	✓,
H1JKKSS	Yes	<b>√</b>	✓	<b>√</b>
WHGE2HJ	Yes	<b>√</b>	✓	<b>√</b>
ADGHVYE	Yes	<b>√</b>	<b>√</b>	<b>√</b>
3BKD82T	Yes	✓	<b>√</b>	<b>√</b>
9GPVDVB	Yes	<b>√</b>	<b>√</b>	✓.
BHKLSIO	Yes	✓	✓	✓
YVM5JXQ	Yes	✓	✓	✓
3IBJ8HI	Yes	✓	✓	✓
1ZTPVO8	Yes	✓	✓	✓
AVY3PU2	Yes	✓	✓	✓

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CJ626AS	Yes	✓	Database Download:  √	✓
AMJ9DZS	Yes	✓	✓	✓
U074WSR	Yes	✓	✓	✓
IHGXFFF	Yes	✓	✓	✓
WJJB9DB	Yes	✓	✓	✓
HB34YYS	Yes	✓	✓	✓
NZM9FHY	Yes	✓	✓	✓
Y7KS8S2	Yes	✓	✓	✓
IRT1L1W	Yes	✓	✓	✓
OJCSASH	Yes	✓	✓	✓
QM6VJV8	Yes	✓	✓	✓
T7S8Z06	Yes	· ✓	· ✓	<b>✓</b>
ODRU24T	Yes	✓	· ✓	· ✓
YLIRAXG	Yes	✓	✓	· ✓
F8P8C6C	Yes	<b>√</b>	· /	<b>√</b>
SUBVV6Y	Yes	<b>√</b>	· ✓	<b>√</b>
	Yes	<b>√</b>	· /	<b>√</b>
ZU0LC8R	Yes	<b>.</b>	· ./	<b>√</b>
47VATQA	Yes	<b>√</b>	· /	<b>√</b>
Q94M5FF	Yes	<b>√</b>	· /	<b>V</b>
ETXMDH9	Yes	<b>√</b>	· /	<b>√</b>
WP771MC	Yes	<b>∀</b>	· ·	<b>▼</b>
ZXNDAF4		<b>∀</b>	<b>V</b>	<b>∀</b>
L6FMGAF	Yes	<b>∀</b>	<b>v</b>	<b>∀</b>
NOW3BTB	Yes	<b>∀</b>	<b>v</b>	<b>∀</b>
CJ35YFB	Yes	<b>∀</b>	<b>v</b>	<b>∀</b>
0T1YPLY	Yes	<b>V</b>	<b>V</b>	<b>∀</b>
35UA134	Yes	<b>V</b>	<b>V</b>	<b>V</b>
NPA6PB4	Yes	<b>∀</b>	<b>∀</b>	<b>∀</b>
3C6YGL6	Yes	<b>∀</b>	<b>∀</b>	<b>∀</b>
7YG9EMB	Yes	<b>∀</b>	<b>∀</b>	<b>∀</b>
YLX7TLA	Yes	<b>√</b>	✓	<b>√</b>
H94GR01	Yes	✓	<b>√</b>	<b>√</b>
8RRYZYJ	Yes	✓	✓	✓
6GVNEHH	Yes	<b>√</b>	✓	<b>√</b>
1X33FQJ	Yes	<b>√</b>	✓	<b>√</b>
LZRV5VW	Yes	<b>√</b>	<b>√</b>	<b>√</b>
RS098BE	Yes	✓	✓	✓
53W0WCJ	Yes	✓	✓	✓
Y54YW75	Yes	✓	✓	✓
PZDMILS	Yes	✓	✓	✓
PH1JBZ0	Yes	✓	✓	✓
A1PP8BG	Yes	✓	✓	✓
HUQQSAJ	Yes	✓	✓	✓
9DL5YVE	Yes	✓	✓	✓
MZ0GEUU	Yes	✓	✓	✓
6MF62E6	Yes	✓	✓	✓
GVEEVPY	Yes	✓	✓	✓

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B60QBFL	Yes	✓	✓	✓
LZLFJV1	Yes	✓	✓	✓
TINB6IN	Yes	✓	✓	✓
YC1R1SC	Yes	✓	✓	✓
8M2X1M4	Yes	✓	✓	✓
78JBCWH	Yes	✓	✓	✓